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Research Paper

Design And Formulation Sustained Release Tablet of Dapagliflozin as An Anti-Diabetic Care

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ABSTRACT

Dapagliflozin is a selective SGLT 2 inhibitor that is recommended in the treatment of type 2 diabetes mellitus. The current study was aimed at developing and testing sustained release (SR) matrix tablet dapagliflozin (10 mg) with a total weight of 200 mg of the tablet in order to attain sustained drug release up to 24 hours. Dry granulation was used to prepare SR tablets with hydroxypropyl methylcellulose (HPMC K100M) as the rate-controlling polymer at a concentration of 60mg (F1), 90mg (F2) and 120mg (F3) in all cases. Microcrystalline cellulose (111.4, 81.4 and 51.4 mg respectively) was employed as a diluent to keep the weight of the tablet constant. Polyvinylpyrrolidone K-30 (9mg) was used as binder and talc (6mg) and magnesium Stearate (3.6mg) were used as glidant and lubricant. The pre-compression parameters were good flow ability, and the post-compression analysis was acceptable hardness (4 ± 0.4 kg/cm²), friability (0.85%-0.95%), weight variation ($\pm 7.5\%$), thickness (3.6 ± 0.2 mm) and drug content ($98.2\% \pm 0.7\%$). In vitro dissolution studies (USP I, 900 mL 0.1N HCl buffer pH 1.2, 50 rpm, $37 \pm 0.5^\circ\text{C}$) revealed polymer concentration-dependent release: F1 released ~78.4% drug within 12h, F2 ~80.2% within 12h, whereas F3 showed 24.4% release at 2 h, 65.9% at 8 h, and 82.6 % at 12 h. Kinetic modeling indicate that F3 followed Higuchi kinetics ($R^2 = 0.995$) and Korsmeyer-Peppas model ($n=0.47$), confirming diffusion controlled drug release. FTIR studies confirmed absence of drug-excipients interaction. The optimized-formulation (F3) was shown to exhibit sustained drug release over 24h that suggested that the formulation is effective in administration once-a-day and enhanced therapeutic control in the management of diabetes.

INTRODUCTION

Type 2 diabetes mellitus (T2DM) is a chronic metabolic disorder that is ascribed by a high level

of blood glucose level as a consequence of an aggregate malfunction in insulin secretion and tissue resistance. This is in accordance with the

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Non-Communicable Disease Risk Factor Collaboration (NCD-RisC) reported in 2022 that 828 million people in the world have diabetes with more than 95% being type 2 diabetes[1]. International diabetes federation (IDF) published in 2021 that there are 537 million individuals with diabetes in the world and approximately 6.5 million individuals aged between 20-79 years will succumb to the diseases as a result of diabetes. Obesity is strongly related to type 2 diabetes mellitus, which is a heterogeneous disease with different levels of insulin resistance and impaired β cell functioning [2][3]. Uncontrolled diabetes can cause both microvascular and macrovascular complication. It also directly causes toxicity to pancreatic β -cells of the islets of Langerhans. The condition is complicated by prolonged high blood glucose levels (chronic hyperglycaemia) by inhibiting insulin secretion and insulin sensitivity phenomenon called glucotoxicity, which leads to the development of type 2 diabetes[4][5]. Existing interventions of type 2 diabetes mellitus (T2DM) involve either the insulin signalling pathway, or stimulating insulin production, but both do so at the cost of weight gain, nausea and diarrhea[6][7]. Sodium-glucose co transporter 2 inhibitors (SGLT2) is also known as gliflozin, are a relatively recent class of medication used in the treatment of type 2 diabetes mellitus (T2DM). These drug target the SGLT2 protein located in the proximal tubule of kidney, which is responsible for reabsorbing glucose from the renal tubule back into the bloodstream[8]. By inhibiting SGLT2, these medication reduce glucose reabsorption, leading to increased glucose excretion in the urine and lower blood sugar level[8]. SGLT2 inhibitor are highly selective for the SGLT2 protein found in the kidney approximately 200-2500 times greater than SGLT1, which present both kidney and gastrointestinal tract [9]. Clinical trial has shown

that SGLT2 can effectively reduce HbA1c level by 0.5 to 0.9% (equivalent to 5-9 mmol/mol) after 12 months of use. Beyond their glucose lowering effect, SGLT2 is offer additional metabolic benefits. They promote modest reduction in systolic blood pressure (approximately 2.5-5.0 mm Hg) and typically lead to an average weight loss of around 2 kilograms [10]. This weight reduction due to caloric loss through increased glucose excretion and metabolic shift towards greater reliance on ketone and fatty acid metabolism encouraging fat breakdown [10] [11] [12]. For optimal effectiveness, SGLT2 is generally prescribed when the patient's estimated glomerular filtration rate (eGFR) is above 60 mL/min/1.73 m². If eGFR falls below 45 mL/min/1.73 m², the drug glucose-lowering efficacy relies heavily on adequate kidney function [13]. SGLT2 inhibitors are now recommended as a comprehensive treatment plan for T2DM due to their additional benefits beyond glycemic control. Numerous studies have demonstrated that these drugs also help reduce the risk of progression of chronic kidney disease (CKD) and lower the incidence of cardiovascular disease (CVD) complication [14][15][16].

Dapagliflozin, commercially known as Farxiga in the U.S and Forxiga in Europe-act as a highly selective, reversible inhibitor of SGLT2, the carrier responsible for about 90% renal absorption [17][18]. It is administered once a day orally or maybe used as monotherapy in individual who cannot tolerate metformin or added to existing treatment such as metformin, sulfonylurea or insulin when glycemic target remain unmet. By inhibiting renal glucose reabsorption, Dapagliflozin increases urinary glucose excretion and lower plasma glucose concentration, reducing the possibility severe hypoglycaemia[19][20]. Dapagliflozin has demonstrated multiple benefits including lower blood pressure and promoting weight loss. It significantly reduces the risk of



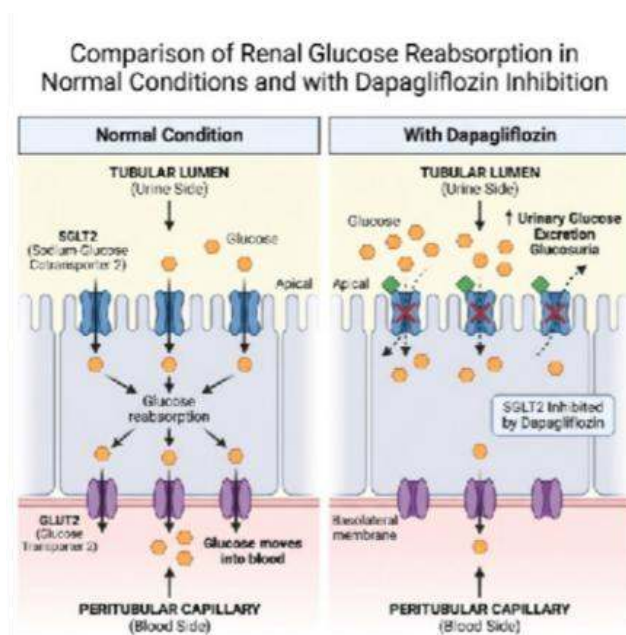
cardiovascular death or hospitalization due to heart failure and has shown effectiveness in slowing the progression of kidney disease. The drug is generally well tolerated, with a low risk of hypoglycaemia and diabetes ketoacidosis. It has proven beneficial across a broad range of patient including those without a prior history of cardiovascular diseases [21]. As a leading SGLT2 inhibitor, Dapagliflozin contributes to improved glycemic control with minimal hypoglycaemic risk, support weight reduction and may also reduce blood pressure [22] [23]. Formulating dapagliflozin as a sustained-release tablet offers several potential advantages, including improved patient adherence, reduced side effects related to peak drug levels, enhanced glycemic stability, and extended pharmacological activity. Additionally, SR formulations can optimize drug absorption, prolong the duration of action, and potentially improve clinical outcomes in diabetic patients, especially those requiring long-term therapy.

2. Mechanism of action:

Sodium-glucose co-transporter, primarily found in the proximal tubules of the nephron, play a critical role in reabsorbing glucose from the filtrate back into the bloodstream. Dapagliflozin specifically targets and inhibits SGLT2 receptors, which are highly expressed in kidneys [24][25][26]. These transporter facilitate the concurrent movement of sodium and glucose across the apical membrane of tubular cell. Inside the cells, sodium is transported back into the bloodstream via the Na^+/K^+ ATPase pump. While glucose is reabsorbed through GLUT-2 transporter located on the basolateral membrane [27]. By selectively inhibiting SGLT2, Dapagliflozin block glucose reabsorption, leading to its increased excretion in urine. The glucose-

lowering effect occurs independently of insulin and pancreatic β cell function. Unlike other antidiabetic agents whose efficacy diminished over time due to progressive β -cell dysfunction [28]. Dapagliflozin maintains its action regardless of insulin availability. Additionally, Dapagliflozin help mitigate side effects commonly associated with insulin therapy. Such as weight gain and cardiovascular complication[29]. It is well suited for combination therapy with other oral antidiabetic agents like metformin, glimepiride and pioglitazone to achieve better glycemic control [30]. On average approximately 180 grams of glucose are filtered daily by kidney, primarily reabsorbed via SGLT2 and to a lesser extent by SGLT1. In healthy individual this process ensures minimal glucose loss in urine. However, with SGLT2 inhibition, glucose is excreted, resulting in reduced blood glucose levels and lower HbA1C levels. Beyond glycaemia control, Dapagliflozin exert several beneficial physiological effect, including reducing preload and after load on the heart, suppressing sympathetic nervous system activity and lowering intra-glomerular pressure. These affects contribute to its ability to slow the decline in glomerular filtration rate (GFR), reduce cardiovascular mortality and hospitalization due to heart failure in adults[31][32]. Another significant benefit of Dapagliflozin is its potential to induce weight loss, attributed to the caloric loss from urinary glucose excretion. Clinical data indicate that a daily dose of 10 mg can result in a weight reduction of approximately 1.8kg compared to placebo [33][34]. This is particularly advantageous in patients with T2DM, who are often prone to obesity and may experience weight gain from medication such as insulin, sulfonylureas or thiazolidinediones.





3. Therapeutic efficacy of Dapagliflozin:

Glycemic and other outcome:

According to the previous reports in Drugs, various randomized, double-blind, and multicentre phase 3 clinical trials have been used to determine the efficacy of Dapagliflozin as a mono-therapy and in combination regimen. Such studies showed similar results of marked glycemic control and also weight and blood pressure reduction in a broad spectrum of patients with Type 2 Diabetes Mellitus as well as patients with high baseline levels of HbA1c ($\geq 9\%$), and elderly patients (≥ 65 years). Later clinical studies have drawn these results to special population, including those patients with stage 3A chronic kidney disease, hypertension, and cardiovascular disease. The addition of dapagliflozin in patients who were poorly controlled during metformin treatment ($n=182$) in a randomized, double-blind, multinational study resulted in a significant decrease in body weight as compared to placebo (randomized, double-blind, multinational study). This weight reduction was mainly because of the reduction in fat mass which made around two-thirds of the entire decrease. Following 24 weeks of therapy,

patients who were treated with dapagliflozin 10 mg/kg/day were found to have a significant drop in the total body weight (-2.1 kg vs. placebo), waist circumference (-1.5 cm) and fat mass (-1.5 kg) in the form of total body weight[35], waist circumference, fat mass, respectively, indicated by dual X-ray absorptiometry. An acute reduction in body weight was found in the course of the first weeks of therapy and a slower and continuous one that was not at a plateau after week 24. This finding was in correlation with the patterns of urinary glucose excretion that had an initial early rise with stabilization after that presenting the conclusion that caloric excretion through glucosuria was one of the keydeterminants of weight loss. Nonetheless, fluid loss could also be one of the reasons behind the initial stage of weight loss. Moreover, much higher percentage of patients who received dapagliflozin attained a clinically meaningful weight reduction of 5% and above than those who received placebo (31 vs 4%; $p<0.0001$)[35]. Magnetic resonance imaging studies in a cohort of patients of the dapagliflozin group showed visceral and subcutaneous adipose tissue volume decreases in the dapagliflozin group compare to placebo recipients (difference from

placebo ~ 258 and ~ 185cm³ respectively; both nominal $p < 0.05$). Most notably, the positive body weight, fat mass, and waist circumference effects were maintained in the long-term course of treatment (102 weeks)[36].

Patients with hypertension:

A single 10 mg dose of dapagliflozin showed significant systolic blood pressure (SBP) and glycemic control improvements in two Phase III clinical trials of patients with inadequately controlled type 2 diabetes mellitus (T2D) and hypertension. Such patients were already taking antihypertensive therapy, either as monotherapy, where the angiotensin-converting enzyme inhibitor (ACEi) or the angiotensin receptor blocker (ARB) was used[37], or as a combination with another antihypertensive agent. Both studies had significantly more mean SBP changes in the dapagliflozin arm than in the placebo arm at 12 weeks, which met the primary and secondary co primary endpoints. In addition, a post hoc analysis of a single trial revealed that the decrease in SBP was greater in the patients who were provided with either a β -blocker or calcium channel blocker when they were provided with an add-on therapy than in those who received a thiazide diuretic[37].

Patients with cardiovascular disease:

When used in combination with standard background therapy, dapagliflozin 10 mg once daily resulted in significant glycemic control and body weight reduction and systolic blood pressure (SBP) in patients whose diabetes mellitus (T2DM) was not properly controlled (HbA1c 7.0-7.5) and in the presence of pre-existing cardiovascular disease (CVD) and hypertension as shown in two Phase III clinical trials[38][39]. Application of dapagliflozin as add-on therapy in the form of 24-week therapy at 24 weeks showed a massive improvement in HbA1c in comparison to placebo.

In addition, the composite 3-item response was more prevalent among the patients receiving dapagliflozin and this was in accordance with the co primary endpoints. These curative efficiencies were maintained to 52 weeks in the extension studies. In addition, the proportion of patients that had reached the target level of HbA1c of less than 7% by week 24 was significantly higher in the dapagliflozin group than in the placebo group, and these results remained similar by week 52. A second extension phase was also found to support the long-term efficacy because improvements were sustained throughout a total treatment period of 104 weeks, as shown by a pooled post hoc analysis. In addition, the combination of results of five Phase II-III clinical trials of up to 52 weeks of follow-up demonstrated that patients with T2D and a history of heart failure realized clinically significant decreases in HbA1c at baseline[40].

Patients with renal impairments:

A phase 2/3 clinical trial assessing the therapy of patients with chronic kidney disease (CKD) stage 3A (eGFR 45 to < 60 mL/min/1.73 m²) showed the therapeutic benefits of dapagliflozin[14]. The results were also in agreement with the results of the randomized, double-blind, multinational phase 3 DERIVE study [23]. The patients of this study were enrolled if they had inadequately controlled type 2 diabetes mellitus (HbA1c 7.1-9.0%), body mass index (BMI) of 18-45 kg/m², and the stage 3A of CKD. The study participants who were going to receive background antihyperglycemic therapy were randomly assigned to either dapagliflozin 10 mg daily (n=159) or placebo (n=161) over 24 weeks [41]. Dapagliflozin showed statistically significant improvements over placebo at week 24 with decreases in HbA1c (primary endpoint; placebo-adjusted mean change = -0.34% with a baseline of 8.2%), fasting plasma glucose (-0.9 mmol/L with a baseline of 10 mmol/L) and body weight (-1.3 kg with a



baseline of 90kg) and systolic blood pressure (-3.1 mmHg with a baseline of 135 mmHg). Also, the Composite-R randomized, double-blind, multinational phase 3 trial compared metformin (with or without sulfonylurea) to control glycemic irregularities in patients with poor glycemic control (HbA1c of 7.9.5) and mild renal impairment (eGFR 60 -90 mL/min/1.73 m²). In this trial, it was observed that the administration of sitagliptin 100 mg/day ($n = 307$) was not found to be inferior and statistically better than dapagliflozin 10 mg/day ($n = 306$) in the reduction of HbA1c. The minimum squared mean difference between sitagliptin and dapagliflozin baseline to baseline was found to be -0.51 percent and -0.36 percent respectively ($p = 0.006$; baseline = 7.8;)[42].

Cardiovascular and renal outcome:

DECLARE-TIMI 58 is a randomized, double-blind, phase 3 study that employed cardiovascular (CV) and renal outcomes to assess dapagliflozin in patients with type 2 diabetes mellitus (T2D) aged ≥ 40 years and having HbA1c of between $\geq 6.5\%$ and $< 12\%$. The participants were either already with atherosclerotic cardiovascular disease (ASCVD) or multiple risk factors to ASCVD. The patients who had several risk factors were also selected, including men aged 55 years and older and women aged 60 years and older with one of the conventional risk factors, including hypertension, dyslipidaemia, or tobacco use.[43] To begin with, the primary safety endpoint of major adverse cardiovascular events (MACE) was to be measured in the course of the trial. But after the results of the EMPA-REG OUTCOME trial, dual primary efficacy endpoints were added to the study protocol: MACE and a composite of CV death or hospitalization of heart failure (HHF)[43][44]. Secondary endpoints were a renal composite end point, and all-cause mortality. The number of randomized patients was 17,160 and the

mean age of the patients was 64 years. Fourth of them had made ASCVD, coronary artery disease (33%), and heart failure (10%). The average time of diabetes was about 11 years and the baseline HbA1c was 8.3% and PAL 1 average eGFR was 85 mL/min/1.73 m². Patients were treated with dapagliflozin 10 mg a single dose daily or placebo to supplement standard antihyperglycemic treatment. The median period of follow up was 4.2 years. Dapagliflozin was much lower than placebo in reducing the risk of the composite endpoint of CV death or HHF but not in reducing the occurrence of MACE. The achieved advantage was mainly caused by decreased heart failure hospitalization and there was no significant difference in the rates of CV death rates between the two groups [45]. These findings were supported by sensitivity analyses. On the topic of renal outcomes, dapagliflozin was associated with a protective measure of reducing the risk of renal disease. This was proven by a decrease in the rates of composite renal endpoints compared with placebo. Particularly, dapagliflozin reduced by a significant margin the risk of a progressive loss of eGFR ($\geq 40\%$ loss), end-stage renal disease (ESRD), and the joint outcome of ESRD or renal death. Dapagliflozin initially reduced eGFR after 6 months but stabilized after 6 months with the renal function improving to match that with placebo after two years and decline more slowly compared to placebo at 3-4 years. All-cause mortality, myocardial infarction, or ischemic stroke also did not show any significant differences. Nonetheless, dapagliflozin had a number of cardiovascular risk factors reductions in the levels of HbA1c, body weight, systolic blood pressure (SBP), and diastolic blood pressure (DBP), as opposed to placebo during the study period [44].

4. Tolerability of dapagliflozin:



Dapagliflozin 10mg once daily alone or combined with other antihyperglycemic agents, has been associated with good tolerability in Type 2 Diabetes Mellitus patients. This has been concluded using combined information on numerous 2b/3 clinical trials (24 to ≤ 208 weeks) with placebo- and active-controlled studies. Treatment-emerging adverse events (AEs) were reported in 60% and 56% of patients using dapagliflozin and placebo, respectively in a pooled analysis of 13 placebo-controlled trials with 12 to 24 weeks, respectively [46]. There was 4% discontinuation because of AEs in both groups, which is similar to the tolerability profile of both groups. The most common reported AEs (3% and above) with dapagliflozin were nasopharyngitis, diarrhoea, headache, urinary tract infections, upper respiratory tract infections, and urinary tract infections, and the incidence rate was widely comparable to that of placebo. There were serious adverse events (SAEs) in 5 per cent of both groups of patients which resulted in treatment discontinuation in 0.7 percent of patients who received dapagliflozin and 1 per cent of patients receiving placebo. Deaths were not prevalent and there were no significant differences in terms of deaths between groups (0.3 vs. 0.2). A small percentage of patients discontinued

5. Various drug interaction of Dapagliflozin:

Dapagliflozin is a highly selective SGLT2 inhibitor; also demonstrate both safety and efficacy in improving glycemic control in patient with type 2 diabetes mellitus (T2DM) by blocking glucose reabsorption in the kidneys, thereby increasing urinary glucose excretion. It is particularly useful for patient who struggle with insulin based therapy. As a monotherapy, Dapagliflozin significantly lower HbA1c, fasting plasma glucose (FPG), and body weight in patients with uncontrolled T2DM [44]. A meta analysis of

randomized controlled trials revealed that average reduction of approximately $\sim 0.60\%$ in HbA1c, ~ 1.30 mmol/L in FPG and ~ 1.50 kg in body weight compared to placebo. The insulin-independent mechanism of Dapagliflozin offer a key advantage; it remains effective regardless of β -cell function or insulin resistance, allowing it to be used either alone or in combination with insulin or other therapy [45].

Anti-Diabetic Drug

I. Biguanides:

Metformin is a biguanide, widely used to treatment type 2 diabetes mellitus. When Dapagliflozin is added to metformin in patients whose glycemic control is suboptimal, as a short-term result it show significant improvement in blood glucose levels [49]. In a long term clinical study (102-week randomized trial) Dapagliflozin added to metformin produced sustained reduction in HbA1c, fasting plasma glucose their treatment because of adverse events was higher in the dapagliflozin group than in the placebo group in the study titled DECLARE-TIMI 58 (8% vs. 7%; $p=0.01$). Nevertheless, there was a large difference in the general occurrence of major adverse events with dapagliflozin (34% vs. 36; $p < 0.001$). Unstable angina and acute myocardial infarction had the highest reported rates of serious adverse events (incidence $>2\%$) and were equally distributed in the two groups. [46] (FPG) and body weight without raising hypoglycaemic risk [50][51].

II. DPP-4-INHIBITOR:

Saxagliptin is a well known DPP-4-inhibitor. It is available in a fixed dose combination with Dapagliflozin which brand name is Qtern. This formulation shown to be bioequivalent to taking



each drug separately in term of pharmacokinetic parameter such as C_{max} and AUC[47].

III. Sulfonylureas, Thiazolidinediones and Insulin:

Dapagliflozin combine with other anti-diabetic drug including pioglitazone (Thiazolidinediones), sulfonylureas or insulin has demonstrated enzyme glycemic control, with additional benefits such as weight loss or blunting weight gain. Notably, when it used with insulin, Dapagliflozin may allow for a reduction in the patient's daily requirement [48].

Cardiovascular drug:

Dapagliflozin can administered with several cardiovascular agent including digoxin, Valsartan, warfarin and simvastatin, which is important given in various vascular disease such as stroke, coronary heart diseases which are leading cause of mortality in type 2 diabetes mellitus. Co-administration with Valsartan result in the modest 6% decrease in its peak plasma concentration, while AUC for simvastatin, simvastatin acid and Valsartan increase approximately 19%,30%,6% respectively. These changes are not clinically significant and simvastatin or Valsartan do not alter the C_{max} of Dapagliflozin. Similarly Dapagliflozin has no meaningful effect on the pharmacokinetics of warfarin or Digoxin, nor on the pharmacodynamic of Digoxin [52]

Loop Diuretics:

Loop diuretics such as bumetanide should generally be avoided with combination of Dapagliflozin as both agents promotesodium excretion can increase risk of volume depletion, particularly with long term use. If concurrent therapy is unavoidable, specially in elderly patients or those with an estimated glomerular filtration rate (eGFR) below 60 ml/min/1.73 m²

should careful monitoring oh hydration status and kidney function[53][54][55].

6. Safety and adverse effect:

Genital infections (vulvovaginitis and balanitis): Genital infection is most frequent adverse effect of Dapagliflozin. In a pooled data from 12 clinical trials, the incidence was 5.7% with Dapagliflozin 5 mg(n=1145)and4.8%withDapagliflozin 10 mg (n=1393)and 0.9 % with placebo[81].These infection is generally mild to moderate, occurred predominantly within the first 6 month of therapy. Standard oral antifungal or antibiotic therapy was effective and treatment discontinuation due to this uncommon side effect.

7. Sustained release dosage form of dapagliflozin tablet:

Dapagliflozin is a member of the sodium-glucose co-transporter-2 (SGLT2) in-hibitor class, a type of widely used anti-diabeticagent,whichlowersbloodglucose level by increasing urinary excretion of glucose. Most widely, it is available as an immediate-release tablet; however, the de-velopment of a sustained release (SR) for-mulation is expected to further improve its therapeutic potential in the management of type 2 diabetes mellitus. A sustained re-lease dapagliflozin tablet is intended to calibrate the drug's dissolution in a con-trolled manner for an extended period, thereby maintaining consistent levels of plasma drug concentrations. This also makes blood glucose levels less spiky, re-ducing side effects during the peaks. This controlled delivery is especially advanta-

Urinary tract infection: UTIs have also been reported with Dapagliflozin. Incidence rates were 5.7% for 5 mg and 4.3% for 10 mg and 3.7% with placebo [56]. This infection typically mild to moderate and responded well to conventional oral



antibiotics. Dehydration and volume depletion: Events related to volume depletion (dehydration, hypovolemia) are uncommon. Incidence was 0.8% with Dapagliflozin 10 mg/day vs. 0.4% with placebo, [57] a difference that was not statistically significant. In chronic conditions such as diabetes, where tight glycemic control must be a constant factor. Sustained-release (SR) formulations are most commonly formulated using matrix systems of hydrophilic polymers, such as hydroxy propyl methylcellulose (HPMC), which became hydrated in gastrointestinal fluid to produce a gel layer that regulate drug release. Another approach to retard release further is by using hydrophobic polymers such as ethyl cellulose. The method of drug release involves diffusion and polymer eroding mechanisms. Tablets are evaluated for hardness, friability, drug content and in vitro dissolution studies to check and establish controlled release profile. Different models are used to analyze release kinetics; zero-order and Higuchi equations. While dapagliflozin has already long half life; SR formulations could improve adherence and give more stable glycemic control. Thus sustained release tablet represent promising approach in advance anti-dia-

8. Disadvantages of conventional dosage forms:

Dapagliflozin immediate-release dosage forms have a number of disadvantages, particularly when used long term to manage type 2 diabetes. Plasma drug concentrations fluctuate: Immediate-release is rapidly absorbed but also rapidly eliminated from the system; this causes peak and trough fluctuations in plasma drug concentrations, and as a result, may produce inconsistent glycemic control. Conventional drug delivery systems. Short duration of action: Dapagliflozin is generally taken once a day; however, using a conventional formulation would not keep a patient at a constant therapeutic plasma concentration throughout 24 hours,

especially in some patients, thus producing sub-therapeutic effect. Increased risk of side effects at peak levels: Peaking of the plasma drug concentration will also increase the risk of experiencing adverse drug reactions such as: urinary tract infections, genital infections, dehydration (due to osmotic diuresis), etc. Reduced patient compliance (in some cases): If a modified drug administration regimen is required to achieve a therapeutic benefit, patients would not be as likely to adhere compared with a controlled or sustained-release form of administration.

8. Advantages of sustained release dosage form:

1. Prolonged and consistent drug release

SR tablets release dapagliflozin gradually over a longer time, which keeps plasma drug levels steady and avoids fluctuations. This leads to better and more stable blood sugar control.

2. Improved treatment effectiveness

By maintaining a constant inhibition of glucose reabsorption in the kidneys, SR formulations promote continuous glucose excretion. This results in better blood sugar regulation.

3. Reduced dosing frequency

Sustained release allows for once-daily dosing. This enhances convenience and simplifies treatment plans, especially for Chronic conditions like diabetes and also improve patient's compliance.

5. Fewer side effects

Controlled drug release lowers high peak plasma levels, which reduces the risk of adverse effects like:



- Polyuria
- Dehydration
- Genital and urinary infections

6. Better control of fasting and post-meal blood sugar: SR formulations provide ongoing pharmacological action, which helps control both fasting and post-meal blood sugar levels more effectively.

7. Enhanced pharmacokinetic profile

SR systems offer better control over:

- Drug release rate
- Absorption pattern
- Maintenance of steady-state level

9. Disadvantages of sustained release dosage form:

I. Risk of dose dumping

If the SR formulation fails, such as due to improper formulation or alcohol interactions, a large amount of the drug may be released suddenly. This can lead to toxicity or intense pharmacological effects.

II. Reduced flexibility in dose adjustment

SR tablets have fixed release patterns. This makes it hard to adjust doses based on individual patient needs, especially for those with kidney problems or changing glucose levels.

III. Not suitable for all patients

Patients with severe kidney issues or gastrointestinal disorders, which affect transit time, may have unpredictable drug absorption. This can reduce the drug's effectiveness.

IV. Delayed onset of action

Compared to immediate-release forms, SR formulations may take longer to work. This delay is not ideal when quick blood sugar control is necessary.

V. Higher cost of formulation

SR tablets require complex technologies and excipients. This increases manufacturing costs, resulting in higher prices for patients.

VII. Difficulty in formulation development

Creating a stable and effective SR system for dapagliflozin requires careful selection of polymers and control of release rates. This process is more challenging than developing conventional tablets.

10. AIM & OBJECTIVES:

Aim: Design and evaluation of sustained release formulation of Dapagliflozin as an antidiabetic care.

Objectives:

- To conduct a comprehensive literature review of the current sustained-release drug delivery systems, and specifically antidiabetic drugs, specifically dapagliflozin.
- To assess the physicochemical properties of dapagliflozin, including solubility, stability, and permeability, to determine its suitability in sustained-release formulation. The objectives of the experiment were as follows:
- To determine and pick appropriate polymers and excipients to formulate a sustained-release dosage form of Dapagliflozin on the basis of compatibility and desired drug release characteristics.
- To develop different prototype formulations of sustained-release dapagliflozin



using methods like wet granulation, dry granulation, and direct compression.

- To maximize the formulation variables by use of statistical methods like Design of Experiments (DoE) or Response Surface Methodology (RSM) to achieve the desired drug release profile
- To investigate the in vitro drug re-lease properties through the use of suitable dissolution methods and use the kinetic models like Higuchi and Korsmeyer-Peppas to learn about the release mechanisms.
- To perform in vivo pharmacokinetic studies in suitable models to compare the performance of the sustained-release formulation with conventional dosage forms to evaluate and compare the bioavailability and therapeutic efficacy of the sustained-release system to immediate-release formulations and also investigate the possibility of enhanced patient adherence and therapeutic advantages, such as lower dosing schedule, decreased side effects and better control in glycemic activity.

11. Plan of work: Preformulation studies:

- Organoleptic properties (Color, odor, taste)
 - Solubility study (water, buffer pH 1.2, 6.8, 7.4)
 - Melting point determination
 - Identification of drug (UV & IR)
 - Drug-excipients compatibility (FTIR)
 - Stability studies (temperature & humidity)
- Formulation Design:
- Selection of excipients (diluent, binders, disintegrating agent, Glidant, lubricant)
 - Preparation of matrix tablet using dry granulation method
 - Formulation of multiple batches with varying HPMC concentration (30%, 45%, 60%).
- Pre-compression evaluation:

- Bulk density
- Tapped density
- Carr's index
- Hausner's ratio
- Angle of repose

Tablet compression:

- Compression of powder blends into tablets using rotatory tablet press.

Evaluation of tablet:

- Weight variation
- Hardness
- Friability
- Thickness
- Drug content uniformity

In-vitro Drug release studies:

- Dissolution testing in suitable media (e.g. 0.1N pH 1.2 HCl buffer)

12. MATERIAL & METHOD:

Material:

Dapagliflozin – Active pharmaceutical ingredient
 Hydroxypropyl Methylcellulose (HPMC K100M) – Rate controlling polymer
 Microcrystalline Cellulose (MCC) – Diluent
 Polyvinylpyrrolidone K30 (PVPK30) – Binder
 Magnesium Stearate – Lubricant
 Talc – Glidant

Method:

Preparation Method of Dapagliflozin Sustained Release Tablets by Dry Granulation.

Weighing of Materials: Cross-weighing of all ingredients, such as dapagliflozin, hydroxypropyl methylcellulose (HPMC K100M), microcrystalline cellulose and other excipients was carried out as per the formulation design.



Sieving: The materials (excluding lubricants) were weighed and then subjected to a sieve of size # 40 to get the uniform size of the particles and to eliminate any lumps.

Blending: Sieved powders were then placed in an appropriate blender and blended between 10-15 minutes to form a homogenous powder blend.

Slugging: The slugging method was used to subject the blended powder to dry granulation. The powder mixture was pressed into big slugs (compacts) through the use of a tablet compression machine at the right amount of pressure.

Alternatively, roller compaction was used to produce ribbons.

Milling and Sizing: Slugs or ribbons were prepared and the material milled and sieved through a #16 or #20 sieve to attain the uniform sized granules.

Lubrication: Magnesium Stearate and talc were added to the granules and blended with them 3-5 minutes to achieve adequate lubrication and flow characteristics.

Compression of Tablets: A rotary tablet compression machine was used with appropriate punches to compress the lubricated granules into tablets. A compression force was set to achieve desired tablet hardness and integrity.

Storage: The formulated tablets were put in airtight containers to be further evaluated and stability analyzed.

13. Formulation table:

Ingredients	Batch 1 (mg)	Batch 2 (mg)	Batch 3 (mg)
Dapagliflozin	10	10	10
MCC	111.4	81.4	51.4
PVPK30	9	9	9
HPMC	60	90	120
K100M			
TALC	6	6	6
Magnesium Stearate	3.6	3.6	3.6

prity and appropriateness to be incorporated into a sustained release matrix tablet. The process of Organoleptic characterization plays an important role in the Preformulation studies since it gives preliminary data on the sensory properties of the drug, which can have a bearing on patient compliance and the choice of formulation.

Method

- Colour and appearance were tested with the eye during the daytime on a white background.
- The odour was determined by blowing air over the sample to the nose to identify any typical odour.
- Taste was evaluated through a careful method whereby a small amount of the drug was put on the tongue, which is safe and as per lab rules.
- Texture was established by rubbing a small amount of the powder between fingers to assess its physical character (e.g. crystalline or amorphous).

14. RESULT AND DISCUSSION:

Preformulation studies:

Organoleptic characteristics: Purpose

The objective of the current study was to determine the organoleptic properties of Dapagliflozin to ascertain its identity, purity,

Solubility study: Purpose:



To determine the solubility of the drug in different solvents, this is critical in choosing the appropriate strategies of formulations and dissolution medium.

Method:

The shake-flask method was used to determine its solubility. A specific amount of the drug was placed in various solvents (water, 0.1 N HCl, phosphate buffer pH 6.8, methanol, and ethanol) and mixed with them at 24 hours at room temperature. Solutions were filtered (Whatmann filter paper, 0.45 μ m, appropriately diluted and measured using a UV-visible spectrophotometer.

OBSERVATION TABLE OF SOLUBILITY STUDY

Sr no	Solvent/Medium	observation
1	Distilled water	Slightly soluble
2	0.1 N HCl (pH 1.2)	Low solubility
3	pH 6.8 Phosphate buffer	Low solubility
4	Methanol	Freely soluble
5	Ethanol	Freely soluble

Melting Point determination:

Purpose: To identify the melting point and purity.

Method: The fine powdered sample was put into melting point equipment in a capillary tube. Gradual increase of temperature (1-2°C/min) was carried out and melting range was noted.

Observation: Dapagliflozin (white powder) melting at 65-70°C with sharp melting, a good purity and identifying its identity.

Identification of drug by UV Visible spectrophotometer

Purpose

To identify Dapagliflozin using UV spectrophotometer and determine its λ_{max} , and to prepare a standard calibration curve for quantitative analysis.

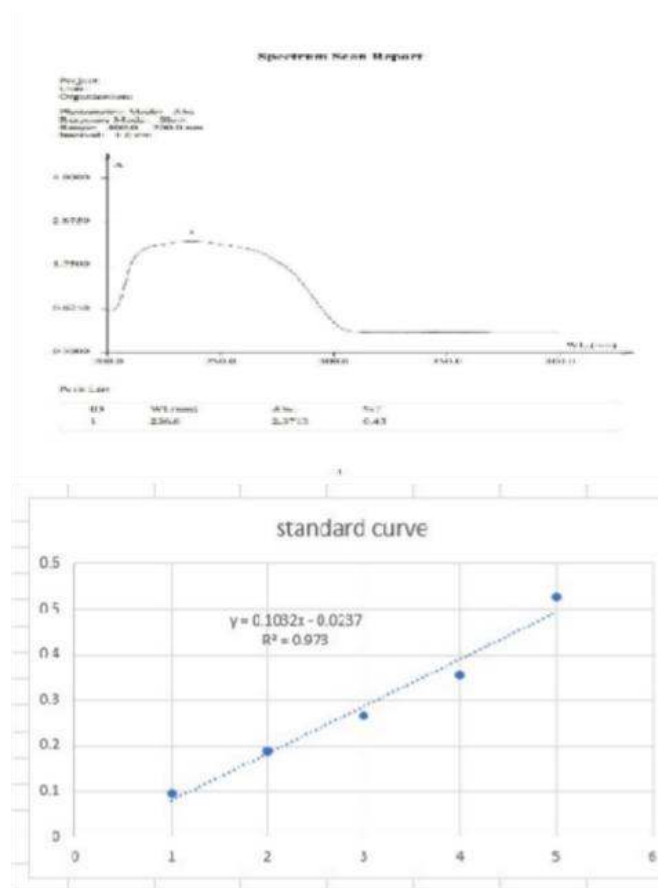
Method

A stock solution of Dapagliflozin was prepared by dissolving 1 mg drug in 100 ml ethanol. It was scanned in the range of 200–400 nm to determine λ_{max} . For the standard curve, serial dilutions (e.g. 1 ppm, 2 ppm, 3 ppm, 4 ppm, 5 ppm) were prepared, and absorbance was measured at λ_{max} using a UV spectrophotometer.

Observation

Dapagliflozin showed λ_{max} at 236 nm.

The calibration curve of concentration vs.



Identification of drug by IR spectrophotometer

Purpose

To identify Dapagliflozin by detecting its characteristic functional groups using IR spectroscopy.

Method

The sample was mixed with dry KBr and compressed into a pellet (or analyzed by ATR method). The spectrum was recorded using an IR spectrophotometer in the range of $4000\text{--}400\text{ cm}^{-1}$.

Observation

Characteristic peaks were observed at:

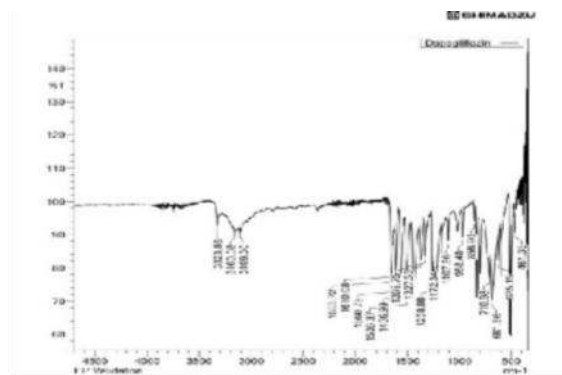
- $\sim 3350\text{ cm}^{-1}$ (O–H stretching)
- $\sim 2920\text{ cm}^{-1}$ (C–H stretching)
- $\sim 1600\text{ cm}^{-1}$ (aromatic C=C)
- $\sim 1100\text{ cm}^{-1}$ (C–O stretching)

These peaks matched reported data, confirming the identity and purity of Dapagliflozin.

bulk volume (V_0) and calculate bulk density. This was followed by tapping of the cylinder (100–500 taps) until a constant volume was reached (V_f) to determine the tapped density.

Bulk Density = Weight / V_0
Tapped Density = weight / V_f

Bulk density & tapped density of different batches:



Drug-Excipients compatibility

study:

Purpose:

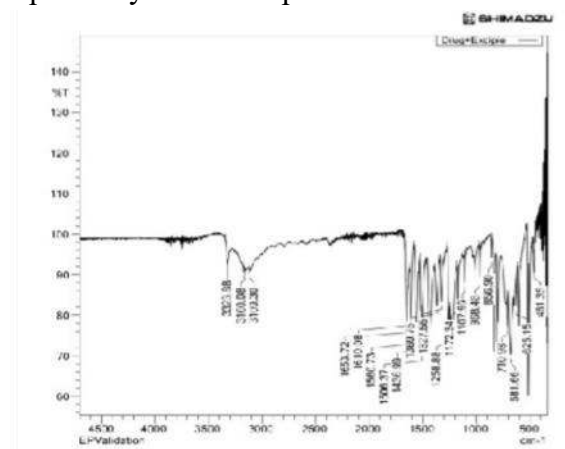
To assess potential interactions with Dapagliflozin and excipients and assure compatibility during formulation.

Method:

KBr pellet/ATR method was used to record FTIR spectra of pure drug, excipients and physical mixture in the period of $4000\text{--}400\text{ cm}^{-1}$. Any changes in the spectra were compared.

Observation:

Characteristic peaks of Dapagliflozin were retained without significant shift or disappearance in the mixture. No new peaks were observed, indicating no chemical interaction and good compatibility with excipients.



Pre-compression evaluation:

Bulk density & tapped density:

Purpose:

To identify the flow characteristics and packing capacity of granules, which affect the compression and uniformity of tablets. Method

A weight of granules that was known was added to a graduated cylinder to determine

Bulk density & tapped density of different batches:

Batch no	Bulk density (g/ml)	Tapped density (g/ml)
Batch-1	0.40	0.45
Batch-2	0.40	0.45
Batch-3	0.35	0.40

Carr's index & Hausner's ratio:**Purpose:**

To evaluate the flow properties and compressibility of granules or powder blend before tablet compression.

Method

Bulk density and tapped density were determined.

Using these values:

- Carr's Index (%) = $\left[\frac{\text{Tapped Density} - \text{Bulk Density}}{\text{Tapped Density}} \right] \times 100$
- Hausner's Ratio = $\frac{\text{Tapped Density}}{\text{Bulk Density}}$

Carr's index and Hausner's ratio of different batches:

Batch no	Carr's index (%)	Hausner's ratio
Batch-1	11.11	1.125
Batch-2	11.11	1.125
Batch-3	12.5	1.142

Angle of repose:**Purpose**

To measure the flow property of the granules or powder, this is crucial in the homogeneous filling of die during compressing tablets.

Method

The granules were allowed to flow through a funnel fixed at a certain height onto a flat surface to form a cone. Measurements of the height (h) and radius (r) of the pile were taken and the angle of repose (θ) was calculated using: $\tan \theta = h / r$

Angle of repose of different batches:

Batch no	Angle of repose
----------	-----------------

Batch-1	28°
Batch-2	28°
Batch-3	30°

Angle of repose

Batch-1	28°
Batch-2	28°
Batch-3	30°

Evaluation of tablet:**Weight variation:****Purpose**

To ensure uniformity of tablet weight, which reflects consistent drug content and dose accuracy.

Method

20 tablets were selected randomly and weighed individually using a digital balance. The average weight was calculated, and the percentage deviation of each tablet from the average weight was determined. Results were compared with IP limits. Observation: All tablets complied with IP weight variation limits ($200 \text{ mg} \pm 7.5 \text{ mg}$), indicating uniformity in tablet weight and proper formulation.

Hardness Test:

Purpose: To assess the mechanical strength of tablet by finding out the necessary force to break them. The correct hardness is a fact that the tablet can endure the handling treatment, packaging, and even transportation without being damaged, yet, at the same time, they are able to release the appropriate drugs.

Method:

- A hardness tester of tablets (as Monsanto, Pfizer or a digital tester) is employed.
- The anvils of the instrument hold the tablet. Force is gradually applied until the tablet breaks. The force of breaking is measured in kg/cm^2 or Newtons. A minimum of 6 tablets is tested and the mean value is determined.

Acceptable Range: In the case of sustained-release tablets, a hardness value of

3-



7kg/cm² is usually appropriate. Increased hardness may be used in managing the release of the drugs but must not impact negatively on the dissolution profile. Hardness of different batches:

Sr no	Batches	Hardness
1	Batch-1	4 kg/cm ²
2	Batch-2	4.5 kg/cm ²
3	Batch-3	4 kg/cm ²

14.3.2 Friability test:

Purpose:

To test how resistant tablets can be against abrasion and chipping and mechanical stress during handling and transportation. The smaller friability value means robust and more resilient tablets.

Method:

- Take 10-20 tablets and note down their total initial weight (W₀).
- Put the tablets in a Roche friabilator.
- Rotate the instrument at 25 rpm for 100 rotations (approximately 4 minutes).
- Once the test is finished, take out the tablets, wipe them off, and make a note of the final weight (W_f).

Calculate the percentage friability using:

$\% \text{Friability} = [(W_0 - W_f) / W_0] \times 100$ **Acceptable Limit:**

The weight loss should be less than 1% according to pharmacopeial standards. Tablets must not be cracked or broken or heavily damaged on the surface.

FRIABILITY TEST OF DIFFERENT BATCHES

<u>Sr no</u>	Batches	Friability (%)
1	Batch-1	0.85
2	Batch-2	0.90
3	Batch-3	0.95

14.3.4 DISSOLUTION TEST (INVITRO DRUG RELEASE STUDY)

Purpose:

The dissolution experiment is conducted to establish the rate and degree of drug release of sustained release dapagliflozin tablets under simulated gastrointestinal environment.

Methodology:

It is done with the help of USP Dissolution Apparatus I (basket method). The dissolution medium is 900 mL of 0.1N HCl buffer (pH 1.2) which is a representative of the gastric fluid. The temperature of the experiment is kept at 37 ± 0.5 °C. The rate of rotation of the basket is established at a range of 50-75 rpm, and 50 Higuchi model (diffusion-controlled release) Korsmeyer-Peppas model (drug release mechanism)

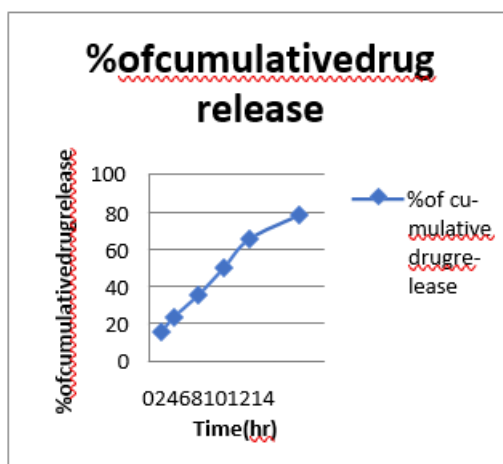
ATargetReleaseProfile (Example):

The sustained release tablets will release the drug (depending on the formulation design) at a rate of about 70-90% in 12 hours and provide a long-lasting treatment effect.

DISSOLUTION DATA BATCH 1

<u>Time(hr)</u>	<u>% of cumulative drug release</u>
1	15.8
2	23.6
4	35.8
6	50.2
8	65.4
12	78.4





formulations.

A single tablet is put in each dissolution vessel. To ensure sink conditions, 2 mL samples are withdrawn at preset time intervals (1, 2, 4, 6, 8 and 12 hours) and an equivalent volume of fresh dissolution medium is added back in.

The samples are filtered and examined either by UV spectrophotometer or HPLC techniques to determine the content of drugs.

Data Analysis:

The cumulative drug release percentage is determined and graphed against time to give the percentage of drug release profile.

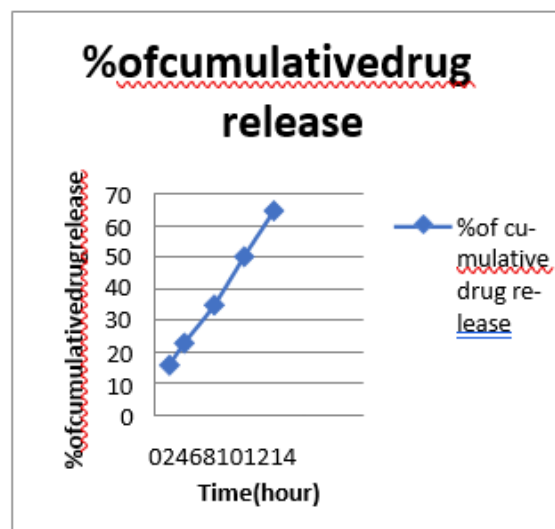
In order to get a clue on the release mechanism, the dissolution data are fitted to various kinetic models including:

Zero-order kinetics (unvarying drug release with time)

First-order kinetics (concentration-dependent release)

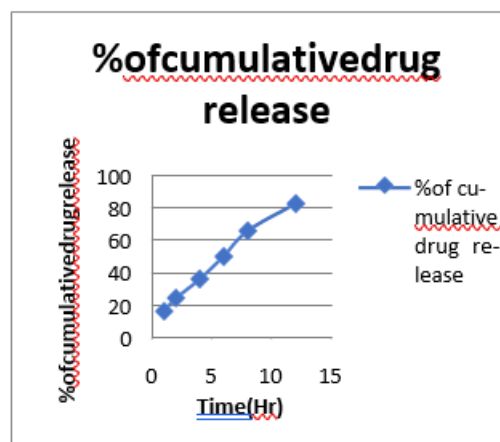
DISSOLUTION DATA BATCH-2

Time(hr)	% of cumulative drug release
1	15.8
2	22.8
4	34.9
6	50.2
8	64.8
12	80.2



DISSOLUTION DATA BATCH-3

Time(hr)	% of cumulative drug release
1	16.2
2	24.4
4	36.3
6	50.4
8	65.9
12	82.6



CONCLUSION

The present study successfully developed sustained release (SR) matrix tablets of Dapagliflozin (10 mg) with a total tablet weight of 200 mg using HPMC K100M as a rate-controlling polymer via the wet granulation method. Among the formulations (F1–F3), the batch containing 120 mg HPMC (F3) demonstrated optimal



performance, providing controlled and extended drug release up to 24 hours.

All formulations complied with pharmacopeial specifications for hardness (4-4.5 kg/cm²), friability (<1%), weight variation ($\pm 2\%$), and drug content (97-99%), confirming good mechanical strength and uniformity. In vitro dissolution studies revealed a clear polymer concentration-dependent release pattern, where increasing HPMC content significantly retarded drug release. The optimized formulation (F3) achieved 82.6% cumulative drug release over 12 hours, ensuring sustained therapeutic levels. Drug release kinetics of the optimized batch followed the Higuchi model ($R^2 \approx 0.99$) and Korsmeyer-Peppas equation ($n \approx 0.45$), indicating a diffusion-controlled (Fickian) release mechanism. FTIR studies confirmed the absence of drug-excipient interaction, ensuring formulation stability.

Overall, the developed SR matrix tablet of dapagliflozin (F3) demonstrated reproducible release behaviour, effective control of drug release, and potential for once-daily dosing, thereby improving therapeutic consistency and patient compliance in long-term diabetes management.

FUTURE PERSPECTIVE

Though the current research revealed successful development and testing of Dapagliflozin pills, additional research should be conducted to improve and test the formulation to be used in industries. To enhance the understanding of the impact of the formulation variables on drug release and tablet properties, optimization of the formulation could be utilized by using statistical methods like Design of Experiments (DoE). Stability studies The stability of the formulation should be determined in long-term and accelerated stability studies according to the ICH guidelines to determine the stability of the formulation in

various conditions of the environment such as temperature and humidity. These studies will help in determining the shelf life and storage conditions of the product. Moreover, in vivo experiments, such as bioavailability and pharmacokinetic analyses, are necessary to determine a relationship between in vitro drug release and in vivo performance (IVIVC). This will guarantee therapeutic efficacy and safety of the formulation. Additional improvements can be a change in modified release preparations like sustained-release or controlled-release systems to enhance patient compliance and to sustain constant plasma drug concentrations. Dapagliflozin solubility and bioavailability can also be increased with the help of new excipients or drug delivery technologies. On the whole, the study is a good basis to further research and development in future and can be scaled-up and commercialized in the pharmaceutical industry.

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